

THEORY OF NEGOTIATION

COURSE READINGS

1999-2000

Professor Janice Gross Stein Mr. Philip Siller Mr. Jeffery Rose

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Week 1-2: Models and Formal Analysis

James Morrow, Game Theory for Political Scientists, pp. 1, 4-9,16-34,73-81,302-314.

James K. Sebenius, "Negotiation Analysis: A Characterization and Review," *Management Science* 38 (January 1992), pp. 18-38.

Anatol Rapoport, Introduction, in Anatol Rapoport, Game Theory as a Theory of Conflict Resolution, pp. 1-9.

Roy J. Lewicki et. al., "Understanding Negotiation through the Study of Games," in Negotiation, pp.35-45.

Sylvia Nasar, A Beautiful Mind, Chapter 49, 374-378.

Week 3-4: Cognitive and Behavioural Factors

- Daniel Kahneman, "Reference points, anchors, norms and mixed feelings," *Organizational Behaviour and Human Decision Processes* 51 (1992), pp. 296-312.
- Max H. Bazerman and Margaret A. Neale, "Negotiator Rationality and Negotiator Cognition: The Interactive Roles of Prescriptive and Descriptive Research," in H. Peyton Young, *Negotiation Analysis*, pp 109-129.
- Janice Gross Stein, "International Cooperation and Loss Avoidance: Framing the Problem," in Janice Gross Stein and Louis Pauly, eds., in *Choosing to Cooperate: How States Avoid Loss*, pp. 2-34.
- Barbara C. Bedont, "Gender Differences in Negotiations and the Doctrine of Unconscionability in Domestic Contracts," in *Canadian Family Law Ouarterly*, pp. 21-44.

Week 5-8: The Structure of Negotiations: Pre-Negotiation, Structural Features, Bargaining Resources

- Janice Gross Stein, "Getting to the Table: The Triggers, Stages. Functions and Consequences of Pre-Negotiation, in *Getting to the Table: Processes of International Pre-Negotiation* pp. 239-268.
- Howard Raiffa, The Art and Science of Negotiation pp. 11-25
- James K. Sebenius, "Sequencing to Build Coalitions: With Whom Should I Talk First?" in Richard J. Zeckhauser, Ralph L. Keeney and James K. Sebenius, *Wise Choices. Decisions, Games and Negotiation*, pp. 324-348.
- Stephen E. Weiss, "Explaining Outcomes of Negotiation: Toward a Grounded Model For Negotiations Between Organizations," in *Research on Negotiation in Organizations Vol. 6.*, pp. 247-256; 262-270.
- Thomas Beisecker, "Knowledge Versus Ignorance as Bargaining Strategies: The Impact of Knowledge about Other's Information Level," *Social Science Journal* 26 2 (1989), pp. 161-171.
- Samuel B. Bacharach and Edward J Lawler, "Power Dependence and Power Paradoxes in Bargaining",

Negotiation Journal, April 1986, pp. 167-75.

Weeks 9-10: Bargaining Strategies: The Structural Dynamics of Negotiation

- James K. Sebenius, "Negotiation arithmetic: adding and subtracting of issues and parties," *International Organization* 37 (Spring 1983), pp. 281-316.
- Barry O'Neill, "Conflictual Moves in Bargaining: Warnings, Threats, Escalations and Ultimatums," in H. Peyton Young, ed., *Negotiation Analysis*, pp. 87-107.
- Thomas Schelling, "Essay on Bargaining," in *The Strategy of Conflict*, pp. 21-52.
- James Lax and James Sebenius, *The Manager as Negotiator: Bargaining for Cooperation and Competitive Gain*, pp. 88-153.
- Dean G. Pruitt and Peter J. Carnevale, "Social Norms and their Impact on Negotiation," in *Negotiation in Social Conflict*, pp. 119-129.
- Janice Gross Stein, "The Political Economy of Security Arrangements: The Linked Costs of Failure at Camp David," in Harold K. Jacobson and Robert D. Putnam eds., *Double-Edged Diplomacy: International Bargaining and Domestic Politics*, pp. 77-103.

Bram Atlin and David Kelman, "Uncertainty, Adversarialism and Fault in Contracts to Negotiate," 1-23.

Week 11: The Coherence Approach

Bruce Chapman, The Rational and the Reasonable: Comparison of Social Choice Theory and Legal Adjudication," pp. 1-114.

Week 12: Agents in Negotiations

James K. Sebenius and David Lax, "Negotiating Through an Agent," *Journal of Conflict Resolution* 35 (September 1991), pp. 474-93.

Law Society Act, R.S.O. 1990, C. L-8 as amended: ss. 34, 37, 38, 62(1). 12, 63.1.

Rules of the Law Society of Upper Canada

Rule 3, Commentary 1-4, 9, notes 1-5, 9.

Rule 8, Commentary 1-4, nn. 1-5.

Rule 10, Commentary 1-7, nn. 1-17.

Rule 14, Commentary 1-7, nn. 1-8.

Week 13: Breakdown and Closure

Stephen E. Weiss, "Explaining Outcomes of Negotiation: Toward a Grounded Model For Negotiations Between Organizations," in *Research on Negotiation in Organizations Vol. 6.*, pp. 271-292.

Ellen Gutterman, The Preliminary Agreement as Reference Point in a Failed Negotiation. The Case of Bell Atlantic and Telecommunications Inc. (Occasional paper series of the Harrowston Program on Conflict Management and Negotiation.) pp. 1-17.

Caroline Hartzell and Donald Rothchild, "Political Pacts as Negotiated Agreements: Comparing Ethnic and Non-Ethnic Cases," *International Negotiation* 2, 1 (1997) pp. 147-156, 167-171.

